

Notice of References Cited	Application/Control No. 09/883,001	Applicant(s)/Patent Under Reexamination SUGAHARA, JAMES TAKESHI	
	Examiner Timothy M. Harbeck	Art Unit 3692	Page 1 of 1

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*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	A	US-6,922,677 B1	07-2005	Sperandeo, Victor H.	705/36R
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NON-PATENT DOCUMENTS

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	U	Gregory May. "Flying on instruments: Synthetic investment and the avoidance of withholding tax." Derivatives Quarterly. New York: Summer 1997. Vol.3, Iss. 4; pg 23.
	V	Chuck Paustian. "Hedge Strategy Gains Attention." Pensions & Investment Age. Chicago: Sep1, 1986. Vol. 14, Iss. 19; pg 53, 2 pages
	W	Robert W. Greenleaf. "Synthetic Instruments." Financial Analysts Journal; Mar/Apr 1989; 45, 2; ABI/INFORM Global. pg 71
	X	Robert Winnet "Free way to play the market." Sunday Times. London (UK): Jan 16, 2000. pg 5.

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
 Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.